



Attorney Docket No. NYMX0002
Client/Matter No. 99786.0007
Express Mail No. EV544474649US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kai Neumann, et al.

Serial No. 10/700,406

Filed: November 4, 2003

For: DISTRIBUTED TRADING BUS
ARCHITECTURE

Examiner: [Not Yet Assigned]

Art Unit: 3621

Confirmation No. 5734

Customer No.: **25235**INFORMATION DISCLOSURE STATEMENT

Mail Stop AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.97 the Examiner may wish to consider the references listed on the attached Form PTO/SB/08A. In submitting these references for the Examiner's consideration, no representation is made or implied that the references are or are not material to the examination of the application. The Examiner is encouraged to make his or her own determination of materiality.

Pursuant to 37 C.F.R. § 1.97(c), it is hereby certified that each item in this Information Disclosure Statement was cited in a communication from a foreign patent office (copy enclosed), PCT/IB04/52236, mailed March 23, 2006, not more than three months prior to the filing of the statement (37 C.F.R. Section 1.97(e)). No petition fee is believed required, however, any fees associated with this communication may be made to Deposit Account No. 50-1123.

Respectfully submitted,

Date:

4/04/06


Kent A. Lembke, Reg. No. 44,866
HOGAN & HARTSON
One Tabor Center
1200 17th Street, Suite 1500
Denver, Colorado 80202
(720) 406-5378 Tel
(303) 899-7333 Fax



PTO/SB/08A (10/01) (Substitute for form 1449A/PTO) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) Sheet <u>1</u> of <u>1</u>	ATTY. DOCKET NO. NYMX0002 Client/Matter No. 99786.0007	APPLICATION NO. 10/700,406
	FIRST NAMED INVENTOR Kai Neumann, et al.	
	FILING DATE November 4, 2003	ART UNIT 3621

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document No. No. – Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-4,980,826	12/25/1990	Wagner	
		US-6,405,180	06/11/2002	Tifors et al.	
		US-6,421,653	07/16/2002	May	
		US-6,618,707	09/09/2003	Gary	
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document code – No. – Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	TRANSLATION	
						YES	NO

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s) publisher, city and/or country where published

EXAMINER SIGNATURE	/Clement Graham/	DATE CONSIDERED	04/17/2008
--------------------	------------------	-----------------	------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Attorney Docket No. NYMX0002
Client/Matter No. 99786.0007
Express Mail No. EV544474649US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kai Neumann, Matt Morano, Ian Wall

Serial No. 10/700,406

Filed: November 4, 2003

For: DISTRIBUTED TRADING BUS
ARCHITECTURE

Confirmation No.: 5734

Examiner: [Not Yet Assigned]

Art Unit: 3621

Customer No.: **25235**

CERTIFICATE OF MAILING BY EXPRESS MAIL

Mail Stop AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The undersigned hereby certifies that the enclosed

1. Information Disclosure Statement,
2. Form PTO/SB/08A, with copy of PCT search report,
3. Certificate of Mailing, and
4. Return Receipt Postcard,

and this Certificate of Mailing by Express Mail relating to the above application, were deposited as "Express Mail", Mailing Label No. EV544474649US with the United States Postal Service, addressed to Commissioner for Patents, on April 4, 2006.

Date: 4/6/06



Mailer

Date: 4/04/06



Kent A. Lembke, Reg. No. 44,866
HOGAN & HARTSON
One Tabor Center
1200 17th Street, Suite 1500
Denver, Colorado 80202
(720) 406-5378 Tel
(303) 899-7333 Fax